

Goulburn Hospital Redevelopment Independent Environmental Audit



Assessment of Hansen Yuncken Contractors Environmental System Compliance Against the SSD 8667 Conditions of Consent

Audit Reference:	AQ1268.02
Audit Organisation:	Hansen Yuncken
Auditors:	Annabelle Tungol, Lead Auditor, AQUAS Ana Maria Munoz, Auditor, AQUAS
Date of Audit:	12 February 2021
Draft Report Submitted:	5 March 2021
Final Report Submitted:	12 March 2021

Amendment, Distribution & Authorisation Record

Version Control and Distribution

Revision No.	Date	Reasons for Revision	Issued to
Draft	5/3/2021	Draft issue to TSA	TSA Management
Final	12/3/2021	Final Report	TSA Management

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This report has been prepared and reviewed in accordance with our Quality control system.

This report has been prepared by:

ANA MARIA MUNOZ
Environmental Auditor

Date: 12/3/2020

Reviewed by:

ANNABELLE TUNGOL
Lead Environmental Auditor

Date: 12/3/2020

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1. Executive Summary

This audit was completed to assess the compliance of Goulburn Hospital Redevelopment Project against the requirements of Development Consent State Significant Development (SSD) 8667 Condition C39 and in accordance with the approved Audit Programme. The audit was conducted by AQUAS (Annabelle Tungol – Lead Auditor and Ana Maria Munoz – Auditor) on 12 February 2021. This audit covered the conditions under Part A, Part B and Part C of the Development Consent SSD 8667, Modification 2 – dated 28 January 2020.

The development was progressing with the following construction works:

- internal lining works such as framing, sheeting, setting and sanding across all floors;
- steel installation;
- painting of ceilings, walls and door frames;
- flooring preparation and installation;
- Lift 4 installation;
- façade works;
- mechanical and electrical services; and
- detailed excavation of main entry footings.

Overall, the project is generally compliant to the conditions of Development Consent SSD 8667 with the following key strengths noted:

- The Hospital construction activities were carried out as per the project programme and Stage Report with no harm to the environment;
- The Environmental Management Plan (EMP) and sub-plans have been maintained and implemented during the construction to comply with the SSD conditions;
- Environmental inspections have been undertaken;
- Communication, interface meetings, disruption notice and consultation with the Hospital and stakeholders continue to be undertaken;
- Noise and vibration monitoring has been conducted, controls were in place and records maintained.
- Traffic controls, vehicle and pedestrian access were in place;
- Construction site was secured;
- BIM360 system continue to be used to track non-compliances, incidents, training, equipment maintenance and operator's licenses and competences;
- No incidents were reported during this audit period from February 2020 to January 2021;
- Non-conformances raised in the previous independent environmental audit were addressed accordingly; and
- Compliance Tracking review was regularly conducted by an independent consultant contracted by TSA.

It was noted that 2 modifications have been applied to the Department of Planning, Industry and Environment (DPIE). Modification 2 was approved by DPIE on the 28 January 2021. The Staging report dated March 2020 (Revision 4) was approved by DPIE on 6 March 2020.

No non-compliances were raised during this audit, more details can be found in Section 5.3 of this report. Additionally, the non-compliances raised during the first Independent Environmental Audit (February 2020) were addressed and closed out. The details can be found in Section 5.4 of this report.

2. Introduction

2.1 Background

Hansen Yuncken was appointed by TSA Management for the Redevelopment of Goulburn Hospital which comprises the following activities:

- site preparation works including demolition of existing buildings and structure and site remediation works;
- construction of a new four storey Acute Services Building and internal refurbishment works to existing hospital buildings;
- construction of a new Engineering Compound and new emergency vehicle bay off Faithful Street.
- augmentation to existing vehicle access arrangements and construction of new car parking areas accommodating;
- landscaping and public domain works, including construction of a new formal landscaped lawn area at the northern of Goldsmith and Albert Streets and screen planting to car park site frontages;
- installation of business identification signage; and
- ancillary infrastructure and services work.

TSA Management engaged AQUAS to undertake the second independent environmental audit on 12 of February 2021 during construction phase of the Goulburn Hospital Redevelopment project in compliance with the SSD 8667 condition C39.

2.2 Project Details

Project Name	Goulburn Hospital redevelopment
Project Application Number	SSD 8667
Project Address	130 Goldsmith street, Goulburn
Project Phase	Construction
Project Activity Summary	<p>Current site works included:</p> <ul style="list-style-type: none">- internal lining works such as framing, sheeting, setting and sanding across all floors;- steel installation;- painting of ceilings, walls and door frames;- flooring preparation and installation;- Lift 4 installation;- façade works;- mechanical and electrical services; and- detailed excavation of main entry footings.

2.3 Audit Team

Details of the AQUAS environmental auditors for this audit were submitted to the Department of Planning, Industry and Environment (DPIE) by HY. Endorsement by DPIE of the following auditors was granted prior to the conduct of the audit Refer to **Appendix A**:

Name	Company	Position	Certification
Annabelle Tungol	AQUAS	Lead Environmental Auditor	Exemplar Global Lead Environmental Auditor – Certificate No. 119536
Ana Maria Munoz	AQUAS	Environmental Auditor	Exemplar Global Environmental Auditor – Certificate No. 115421

Independent Audit declaration forms are attached as **Appendix C**.

2.4 Audit Objectives

The objective of this audit was to undertake the independent environmental audit in compliance with the Development Consent Condition SSD 8667 Cl. C39, in accordance with:

- a) the Independent Audit Program submitted to the Department and the Certifier under condition C38 of this consent; and
- b) the requirements for an Independent Audit Methodology and Independent Audit Report in the Independent Audit Post Approval Requirements (Department 2018).

2.5 Audit Scope

The scope of this audit comprised the yearly review of the project compliance against any applicable conditions of SSD 8667 Parts A, B and C, including the following:

- Review of implementation of management plans:
 - Environmental Management Plan (EMP) Revision 3 dated July 2020
 - Construction Noise & Vibration Management Sub-Plan
 - Construction Traffic and Pedestrian Sub-Plan
- Site inspection conducted on 12 February 2021,
- Review of environmental site controls and records;
- Interview of site personnel; and
- Consultation with stakeholders.

2.6 Audit Period

This was the second independent environmental audit carried out by AQUAS on the project which covers the review of environmental documentation and records for the construction from February 2020 to February 2021 only.

It should be noted that this report is based on the result of sampling and supplied documentation/records, as well as site activities on the day of audit (12 February 2021) and review of records provided by HY from 12 February to 3 March 2021).

3. Audit Methodology

3.1 Approval of Auditors

Letter from the DPIE agreeing to the auditors is attached as **Appendix A**.

3.2 Audit scope development

AQUAS developed the audit scope and a checklist based on the Project Development Consent Requirements Application No. SSD 8667. Refer to **Appendix D** of this report.

3.3 Audit Process

3.3.1 Opening Meeting

An opening meeting was held on 12 February 2021 at the project site at 8:20am with Hansen Yuncken, TSA Management project personnel and AQUAS auditors as per the Audit Attendance Sheet. Refer to **Appendix B** of this report.

Key items discussed included:

- Confirmation of the purpose and scope of the audit;
- Overview of the Project and status of the works;
- Occurrence of Environmental incidents; and
- Overview of the audit process in accordance with the proposed Audit Program.

3.3.2 Conduct of Audit

Audit activities included the following:

- Reviewed the project documentation (EMP and its sub-plans) to verify compliance with the Development Consent Conditions SSD 8667;
- Conducted a site walk to review implementation of environmental controls;
- Conducted the audit following the checklist that was prepared based on the SSD Conditions by interviewing personnel and review of records provided as evidence of compliance; and
- Any identified findings were discussed during closing meeting and any actions noted during site inspection were clearly communicated to the site personnel and addressed immediately.

3.3.3 Closing Meeting

The closing meeting was held on 12 February 2021 at the project site at 2:30pm with Hansen Yuncken, TSA Management and AQUAS. General feedback and the findings of the audit were discussed during the closing meeting.

AQUAS auditors acknowledged the cooperation, openness and hospitality of Hansen Yuncken staff during the conduct of this audit.

3.4 Interviewed Persons

Name and position of persons interviewed:

Name	Organisation	Position
Dean Katsikaros	Hansen Yuncken	Senior Project Engineer
Justin Cockinos	TSA Management	Project Manager

3.5 Details of Site Inspection

A site walk around the construction area was conducted with focus on the following controls:

- Erosion and sedimentation controls including sediment fences and controls around pits.
- Stabilised access/egress;
- Roads surrounding the site for dust/mud tracking;
- Chemical storage;
- Noise and vibration management;
- Dust management;
- Waste management;
- Traffic controls vehicle and pedestrian management;
- Site signage; and
- General housekeeping.

Few observations were identified during the site inspection. Refer to details of the inspection in section 5.5 of this report and site photos in **Appendix E**.

3.6 Consultation

A consultation email was sent in advance of the audit to DPIE, Goulburn-Mulwaree Council, Health Infrastructure and Hospital Representatives to request their feedback about the project and highlight any areas for AQUAS to focus on during the audit.

DPIE requested focus on the management of noise, dust, operating hours, truck movements, erosion and sediment, including dirt tracking onto public roads, community consultation, complaints management, including complaints register. Refer to **Appendix F** for consultation records.

Section 5 presents the findings of this audit including the focus areas requested by DPIE.

3.7 Audit Compliance Status Descriptors

The following audit criteria were used for the rating of audit findings.

Status	Description
Compliant	The auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-Compliant	The auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not Triggered	A requirement has an activation or timing trigger that has not been met at the time when the audit is undertaken, therefore an assessment of compliance is not relevant.

4. Document Review

The following documents were reviewed and/or sighted as part of this audit:

- Environmental Management Plan (EMP) Rev.3 - 07/2020
- Goulburn Hospital Redevelopment Main Work Contract Programme Rev.5 1/2/2021
- Construction Noise and Vibration Management Plan (Acoustic Report) from Wood Grieve Engineers Rev.1 – 02/10/2019
- Construction Traffic Management Plan (CTMP) prepared by HY Rev.2 – December 2019
- Construction Traffic Management Plan prepared by GTA Consultants Issue D – 2/3/2020
- Waste Management Plan (WMP) Rev.2 – July 2020
- Soil and Water Management Plan Phase 2 Drawing from Bonacci Rev.3 - 20/2/2020
- Staging Report prepared by Hansen Yuncken Rev.4 – March 2020
- Letter of approval for Staging Report (Rev.4) from the DPIE 6/3/2020
- Notice of Decision and Determination for SSD 8667 MOD 2 – 28/01/2020
- Construction Compliance Report 3/11/2020
- BCA Crown Certificate No. CRO-20018 (No.2) – 13/03/2020
- BCA Crown Certificate No. CRO-20082 (No.3) – 7/10/2020
- GHHSR Disruption Notice for Trenching Works – 25/09/2020
- Goulburn Mulwaree Council Notice of Determination of a Section 138 Application – from 9/10/2020 to 9/4/2021
- Road Occupation License (ROL) Extension No. 0128/1920 at Faithful Street – 29/1/2021
- Acoustic Report by Wood and Grieve Engineers for August to November 2020
- ACM Interim Validation Report (Memo No.2) by Douglas Partners Rev.1 – 18/11/2019
- Hansen Yuncken HSE Inspections - 3/02/2021
- Project Interest (Complaints) Register from January to November 2020
- Concrete Disposal Invoice from Wybrow Haulage – 20/01/2020
- Correspondence for ACM Removal (DN_MW-015) sent by Hansen Yuncken to TSA – 31/01/2020
- Contaminated Material Export Tracking Register from 1/11/2019 to 5/5/2020
- Monthly Waste Register by Hansen Yuncken from March 2020 to January 2021
- Vibration Monitoring Reports by Douglas Partners up to June 2020
- EPA Licence No.20724 for A.J & B.M. WYBROW waste removal
- EPA License No.10398 for Hi-Quality Waste Management Pty
- HSE Inspection Reports conducted by Hansen Yuncken
- Records of Compliance Tracking Review by an Independent Consultant conducted in October 2020.
- Plant and Equipment registration, maintenance and inspection records.
- Induction Records (BIM 360).

5. Audit Findings

This audit was completed to assess the implementation of EMP and environmental mitigation measures established by the proponent against the requirements of Development Consent SSD 8667.

The following table summarises the audit findings by rating category:

Findings Rating	Findings
Compliant	60
Non-Compliant	0
Not Triggered	21
Total Requirements	81

5.1 Assessment of Compliance

The audit determined that the proponent has generally implemented the controls for environmental management within the construction activities that are currently being undertaken. The comparison of audit requirements against the compliance ratings is as follows:

SSD Requirements	Requirements	Findings
Part A – Administrative Controls Including Advisory Note AN1.	20	Compliant – 16
		Non-Compliant – 0
		Not Triggered – 4
Part B – Prior to commencement of Construction	16	Compliant – 14
		Non-Compliant – 0
		Not Triggered – 2
Part C – During Construction Appendix 1 – Incident Notification	45	Compliant – 30
		Non-Compliant – 0
		Not Triggered – 15

5.2 Notices, Incidents and Complaints

Hansen Yuncken noted that no agency notices, orders, penalty notices or prosecutions have been issued, and no reportable environmental incidents have occurred to date.

A complaints register called 'Public Project Interest Register' was in place where complaints details were recorded including resolution reached. One non-conformance was raised for the Construction Traffic and Pedestrian Management Sub Plan (CTPMSP) on the 23 March 2020; this was reviewed with the contractor and a toolbox talk was carried out regarding the traffic routes. On the 30 July 2020 a parking complaint was made and it was escalated and addressed with subcontractors during toolbox talks.

5.3 Review of Previous Audit Findings (February 2020)

Previous audit issues and recommendations were reviewed as part of the audit, as follows:

Finding No.	SSD Condition	Audit Finding	Follow up Comments	Status
Non-Compliance-01	A2. Terms of Consent.	Non-compliance against this condition was raised on the following basis: a. The audit identified Non-compliances against conditions of consent A19, B15, B18 and B20.	Actions were taken to rectify the non-compliance against condition A19, B15, B18 and B20.	Closed 12/02/2021
Non-Compliance-02	A19. Access to Information	Not all information or documents required by this condition have been uploaded in the public website i.e.: <ul style="list-style-type: none"> Updated drawing as per MOD 2 Staging Report The EMP and relevant subplans Performance report Monitoring results 	Website was updated 16 March 2020. Information and documents required under this condition have been published in the Proponent's website: http://www.goulburnredevelopment.health.nsw.gov.au/news-and-publications/environment-and-construction-management-plans The updated drawings as per Modification 2 were published in the DPIE website ('Determination Link').	Closed 12/02/2021
Non-Compliance-03	B15: Environmental Management Plan Requirements	Environmental Impact Statement (EIS) mitigation measures were not included in the EMP (Rev.1 – Sep 2019).	EMP (Rev.2) dated February 2020 was updated to include the EIS mitigation measures and actions in Appendix 3. Latest version of EMP was sent to TSA 2 March 2020.	Closed 12/02/2021
Non-Compliance-04	B18: Construction Traffic and Pedestrian Management Sub-Plan	The Construction Traffic Pedestrian Management Sub-Plan (CTPMSP) did not include how to minimise road traffic noise as part of the Driver Code of Conduct.	CTPMSP from GTA Consultants was updated on the 2 March 2020 (Issue D) to include the road traffic noise mitigation measures.	Closed 12/02/2021
Non-Compliance-05	B20: Construction Waste Management Sub-Plan	Waste Management Plan (WMP) Rev.1 - November 2019 did not include in Section 5.0 'Waste Management Details' the disposal locations for concrete waste.	WMP was updated in July 2020 (Rev.2). Section 5 included disposal locations for the concrete waste and any other relevant construction waste materials.	Closed 12/02/2021

5.4 Audit Site Inspection

The site inspection was conducted at 9:00am on 12 February 2021. AQUAS auditors and project staff walked through the construction site, where environmental controls were observed, including:

- Site signage and way findings were installed;
- Construction site was secured;
- No generation of dust during the inspection;
- Traffic control was in place at the main access during deliveries of materials;
- Hoarding was installed along the site perimeter;

- Opportunity for improvement on general housekeeping was discussed during the site walk which was addressed by HY;
- Maintenance of the erosion and sedimentation controls was discussed and addressed by HY; and
- The regular sweeping and monitoring of the dust/mud on the road was also discussed during this audit and HY addressed the issue after the site inspection.

All the issues raised by the auditors during the inspection were addressed by HY and auditors were satisfied that HY is implementing the site environmental controls satisfactorily.

Please refer to photos of the site inspection in **Appendix E**.

5.5 Suitability of Plans and the EMS

The EMP and sub-plans were updated to suit the site controls and were implemented on site. Plans were generally compliant with the requirements of the Development Consent SSD 8667 and have been made available to workers. Environmental mitigation measures were implemented.

The Environmental Management System demonstrated good processes in place for communications, environmental monitoring, reporting, induction, documentation and record keeping during the project construction activities. No harm to the environment was noted.

5.6 Development Past Performance

The audit indicated that Hansen Yuncken project's performance has improved. This can be determined due to the following aspects:

- The Development Consent Conditions SSD 8667 have been reviewed in the form of Compliance Tracking Register by an independent consultant;
- The Compliance Reports have been prepared, as required;
- Management plans have been reviewed and updated accordingly and Staging Report was submitted and approved by DPIE;
- No incidents or disputes have been raised;
- Only few complaints raised;
- Noise and vibration monitoring reports have been conducted as per the monitoring program and reports have been posted in the website; and
- Environmental controls were implemented.

However, it was noted that the proponent needs improvement on submitting documents and notifications to DPIE within the timely manner to ensure full compliance with the development consent conditions.

5.7 Actual and Predicted Impacts

There are no significant change or additional impacts noted on the actual construction works based on the monitoring results. The predicted impacts as stated in the Environmental Impact Statement (EIS) remain the same.

5.8 Key Strengths

Overall, the project environmental performance in compliance with Development Consent SSD 8667 is satisfactorily met with the following key strengths noted:

- The Hospital construction activities were carried out as per the project programme and Stage Report with no harm to the environment;
- The Environmental Management Plan (EMP) and sub-plans have been maintained and implemented during the construction to comply with the SSD conditions;

- Environmental inspections have been undertaken;
- Communication, interface meetings, disruption notice and consultation with the Hospital and stakeholders continue to be undertaken;
- Noise and vibration monitoring has been conducted, controls were in place and records maintained.
- Traffic controls, vehicle and pedestrian access were in place;
- Construction site was secured;
- BIM360 system continue to be used to track non-compliances, incidents, training, equipment maintenance and operator's licenses and competences;
- Records keeping and management (e.g. waste register is being maintained, noise and vibration monitoring records, plant and equipment inspections and environmental inspections);
- No incidents were reported during this audit period from February 2020 to January 2021; and
- Non-conformances raised in the previous independent environmental audit were addressed accordingly.

6. Audit Findings and Recommendations

No non-compliances were raised during this audit. Refer to **Appendix D** for full details of the audit evidence collected during this audit.

Appendix A. Auditors Approval



Planning,
Industry &
Environment

Contact: Georgia Dragicevic
Phone: 4247 1852
Fax: 4224 9470
Email: Georgia.Dragicevic@planning.nsw.gov.au

Ms Rachel Mitchell
Planning Advisor
Health Infrastructure
PO Box 1060
NORTH SYDNEY NSW 2059

Email: rachel.mitchell@health.nsw.gov.au

31 January 2020

Dear Ms Mitchell

**Goulburn Base Hospital Redevelopment (SSD 8667)
Independent Auditor**

I refer to your email letter dated 14 January 2020, seeking the agreement of the Planning Secretary of the Department of Planning, Industry and Environment ("the Department") of the suitability of the Auditor's qualifications, experience and independence to undertake an independent audit of the Goulburn Base Hospital Redevelopment Project ("the Project").

In accordance with Condition C39 and the *Independent Audit Post Approvals Requirements (June 2018)*, the Planning Secretary has agreed to Ms Annabelle Tungol, Ms Ana Maria Munoz and Mr Luis Garzon as the Auditors for the Project.

Notwithstanding, the agreement for Ms Tungol, Ms Munoz and Mr Garzon to be the Auditors for this Project, each respective project approval requires a request for the agreement to the auditors be submitted to the Department, for the consideration of the Secretary. Each request is reviewed and depending on the complexity of future projects, the suitability of proposed auditors will be considered.

The Independent Audit must be prepared, undertaken and finalised in accordance with the *Independent Audit Post Approval Requirements (June 2018)*.


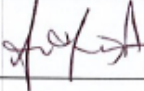
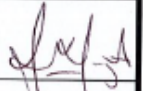
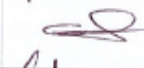

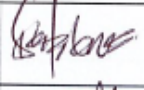
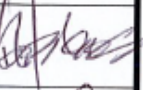
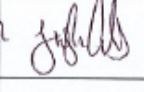
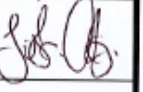
Should you have any enquiries in relation to this matter, please contact Georgia Dragicevic, Senior Compliance Officer, on 4247 1852 or by email to Georgia.Dragicevic@planning.nsw.gov.au

Yours sincerely



Katrina O'Reilly
Team Leader Compliance
as nominee of the Secretary

Appendix B. Audit Attendance Sheet

AUDIT ATTENDANCE SHEET				
PROJECT: <u>Goulburn Hospital SSD 8667</u>		AUDIT No.: <u>AQ1268.02</u>		
AUDITEE: <u>Hansen Yoncken / TSA Mgt</u>		LEAD AUDITOR: <u>Annabelle Turgol</u>		
MEETING LOCATION: <u>130 Goldsmith St, Goulburn</u>				
OPENING MEETING DATE AND TIME: <u>12/02/2021</u> <u>8:20am</u>				
CLOSING MEETING DATE AND TIME: <u>12/02/2021</u> <u>2:20 pm</u>				
NAME	ORGANISATION	POSITION	SIGNATURE	
			OPENING MEETING	CLOSING MEETING
Ang Maria Munoz	AQUAS	Env. Auditor		
Annabelle Turgol	AQUAS	Lead Auditor		
DEAN KATSIKAKOS	HY.	SENIOR PROJECT ENGINEER		
Justin Cocking	TSA	Project Manager		

Date: 04.06.14
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Appendix C. Independent Audit Declaration Form

Independent Audit Declaration Form

Declaration of Independence - Auditor

Project Name: Goulburn Hospital Redevelopment

Consent Number: SSD 8667

Description of Project: Construction of a new four storey Acute Services Building

Project Address: 130 Goldsmith, Goulburn, NSW

Proponent: Health Administration Corporation

Date: 5 March 2021

I declare that:

- i. I am not related to any proponent, owner, operator or other entity involved in the delivery of the project. Such a relationship includes that of employer/employee, a business partnership, sharing a common employer, a contractual arrangement outside an Independent Audit, or that of a spouse, partner, sibling, parent, or child;
- ii. I do not have any pecuniary interest in the project, proponent or related entities. Such an interest includes where there is a reasonable likelihood or expectation of financial gain (other than being reimbursed for performing the audit) or loss to the auditor, or their spouse, partner, sibling, parent, or child;
- iii. I have not provided services (not including independent reviews or auditing) to the project with the result that the audit work performed by themselves or their company, except as otherwise declared to the Department prior to the audit;
- iv. I am not an Environmental Representative for the project; and
- v. I will not accept any inducement, commission, gift or any other benefit from auditee organisations, their employees or any interested party, or knowingly allow colleagues to do so.

Notes:

- a) Under section 10.6 of the *Environmental Planning and Assessment Act 1979* a person must not include false or misleading information (or provide information for inclusion in) in a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is false or misleading in a material respect. The proponent of an approved project must not fail to include information in (or provide information for inclusion in) a report of monitoring data or an audit report produced to the Minister in connection with an audit if the person knows that the information is materially relevant to the monitoring or audit. The maximum penalty is, in the case of a corporation, \$1 million and for an individual, \$250,000; and
- b) The *Crimes Act 1900* contains other offences relating to false and misleading information: section 307B (giving false or misleading information – maximum penalty 2 years imprisonment or 200 penalty units, or both).

Name of Auditor: Annabelle Tungol

Signature:



Qualification: Lead Environmental Auditor – Exemplar Global Certificate No. 119536

Company: AQUAS Pty Ltd

Appendix D. Audit Checklist and Audit Findings

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
1.0	PART A - ADMINISTRATIVE CONDITIONS					
1.1	A	A1	Obligation to Minimise Harm to the Environment In addition to meeting the specific performance measures and criteria in this consent, all reasonable and feasible measures must be implemented to prevent, and, if prevention is not reasonable and feasible, minimise any material harm to the environment that may result from the construction and operation of the development.	There was no material harm reported. A site inspection was conducted and evidence that the environmental mitigation measures were implemented. However, there were couple of observations raised during the site walk which were addressed by HY after the audit: 1. General Housekeeping; 2. Erosion and Sediment controls at the site entrance; 3. Spill kit and bunding area for the diesel containers; and 4. Regular sweeping of road site access/egress. HY addressed these issues immediately after the inspection and that auditors were satisfied that HY is implementing the environmental mitigation measures accordingly. Refer to photos attached as Appendix E.		Compliant
1.2	A	A2	Terms Of Consent The development may only be carried out:	The development has been carried out in accordance with all written directions of the DPIE and the approved plans.		Compliant
	A	A2 (a)	in compliance with the conditions of this consent;			
	A	A2 (b)	in accordance with all written directions of the Planning Secretary;	SSD 8667 was granted on 3/12/18 and drawings were stamped by DPIE on the 3/12/18. A modification (MOD 2) was made and granted on 28/01/2020. Modification included amendments on the architectural plans and a staging report.		
	A	A2 (c)	generally in accordance with the EIS and Response to Submissions; and generally in accordance with the Section 4.55(2) Modification Report and appendices prepared by City Plan, dated 20 September 2019 and the Response to Submissions, prepared by Health Infrastructure dated 4 December 2019, and the further	The EMP Revision 3 dated July 2020 included the EIS mitigation measures.		

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			information and appendices prepared by Health Infrastructure, dated 12 December 2019;			
	A	A2 (d)	in accordance with the approved plans in the table below:	Approved plans were sighted in HI website: http://www.goulburnredevelopment.health.nsw.gov.au/ and the DPIE website: www.planningportal.nsw.gov.au/major-projects/project/4056		
	A	A2	<ul style="list-style-type: none"> Architectural Drawings prepared by Billard Leece and Peck Von Hartel Landscape Concept prepared by Space Lab Subdivision Plan prepared by Project Surveyors Wayfinding Signage prepared by Minale Tattersfield 			
1.3	A	A3	Consistent with the requirements in this consent, the Planning Secretary may make written directions to the Applicant in relation to:	Modification 2 was granted by DPIE on 28/01/2020. Staging Report prepared by HY dated March 2020 (Rev.4) was submitted and approved by DPIE on 6/3/2020.		Compliant
	A	A3 (a)	the content of any strategy, study, system, plan, program, review, audit, notification, report or correspondence submitted under or otherwise made in relation to this consent, including those that are required to be, and have been, approved by the Planning Secretary; and			
	A	A3 (b)	the implementation of any actions or measures contained in any such document referred to in (a) above.			
1.4	A	A4	The conditions of this consent and directions of the Planning Secretary prevail to the extent of any inconsistency, ambiguity or conflict between them and a document listed in condition A2(c) or A2(d). In the event of an inconsistency, ambiguity or conflict between any of the documents listed in condition A2(c) and A2(d), the most recent document prevails to the extent of the inconsistency, ambiguity or conflict.	No inconsistencies, ambiguity or conflict have been identified. Modification 2 granted on 28/01/2020.		Not Triggered
1.5	A	A7	Planning Secretary as Moderator In the event of a dispute between the Applicant and a public authority, in relation to an applicable requirement in this approval or relevant matter relating to the Development, either party may refer the matter to the Planning Secretary for resolution. The Planning Secretary's resolution of the matter must be binding on the parties.	No disputes between the Applicant and a public authority had occurred to the date of the audit.		Not Triggered

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1.6	A	A9	Legal Notices Any advice or notice to the consent authority must be served on the Planning Secretary.	No legal notices received.		Not Triggered
1.7	A	A10	Evidence of Consultation Where conditions of this consent require consultation with an identified party, the Applicant must:	Evidence of consultation with Goulburn Mulwaree Council regarding extension work zone permit, sighted correspondence between HY and Council on the request on 11/1/2021 initial response on 13/1/2021, 18/1/2021 and approval 29/1/2021. Road Occupation License (ROL) No. RO/128/1920 Extension period of issue 29/1/2021 granted 29/1/2021 for 12 months at Faithful Street, Goulburn. Ongoing Trade Waste consultation with Council is being conducted by TSA. Sighted application for liquid trade waste for the Hospital.		Compliant
	A	A10 (a)	consult with the relevant party prior to submitting the subject document for information or approval; and			
	A	A10 (b)	provide details of the consultation undertaken including:			
	A	A10 (b) (i)	the outcome of that consultation, matters resolved and unresolved; and			
	A	A10 (b) (ii)	details of any disagreement remaining between the party consulted and the Applicant and how the Applicant has addressed the matters not resolved.			
1.8	A	A11	Staging, Combining and Updating Strategies, Plans and Programs With the approval of the Planning Secretary, the Applicant may:	Staging Report dated March 2020 was developed HY and approved by DPIE on the 6/3/2020.		Compliant
	A	A11 (a)	prepare and submit any strategy, plan or program required by this consent on a staged basis (if a clear description is provided as to the specific stage and scope of the development to which the strategy, plan or program applies, the relationship of the stage to any future stages and the trigger for updating the strategy, plan or program);	Staging Report was submitted to DPIE 18/2/2020 and approved on 6/3/2020.		
	A	A11 (b)	combine any strategy, plan or program required by this consent (if a clear relationship is demonstrated between the strategies, plans or programs that are proposed to be combined); and			

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	A	A11 (c)	update any strategy, plan or program required by this consent (to ensure the strategies, plans and programs required under this consent are updated on a regular basis and incorporate additional measures or amendments to improve the environmental performance of the development).			
1.9	A	A12	If the Planning Secretary agrees, a strategy, plan or program may be staged or updated without consultation being undertaken with all parties required to be consulted in the relevant condition in this consent.	Staging Report was prepared in consultation with Hansen Yuncken, TSA Management and Health Infrastructure.		Compliant
1.10	A	A13	If approved by the Planning Secretary, updated strategies, plans or programs supersede the previous versions of them and must be implemented in accordance with the condition that requires the strategy, plan or program.	Modification 2 was granted on 28/01/2020 and SSD conditions 8667 were modified accordingly on the 28/01/2020. Also, Staging Report approved has been implemented.		Compliant
1.11	A	A14	Demolition Demolition work must comply with <i>Australian Standard AS 2601-2001 The demolition of structures</i> (Standards Australia, 2001). The work plans required by AS 2601-2001 must be accompanied by a written statement from a suitably qualified person that the proposals contained in the work plan comply with the safety requirements of the Standard. The work plans and the statement of compliance must be submitted to the Certifying Authority before the commencement of works.	Demolition works have not commenced under the SSD approval 8667. Demolition works are planned to commence in June/July 2021 under Stage 2. Staging report will be updated to reflect this. Note: Work plans and Statement of Compliance to be submitted to the Certifying Authority before the commencement of works.		Not Triggered
1.12	A	A16	External Walls and Cladding The external walls of all buildings including additions to existing buildings must comply with the relevant requirements of the BCA.	Designs for external walls and cladding was certified as part of CC3 No. CRO-20082 dated 7/10/2020 from BMG. Item 33. External Wall Components Disclosure Statement from Interior Work dated 1/10/2020.		Compliant
1.13	A	A18	Monitoring and Environmental Audits Any condition of this consent that requires the carrying out of monitoring or an environmental audit, whether directly or by way of a plan, strategy or program, is taken to be a condition requiring monitoring or an environmental audit under Division 9.4 of Part 9 of the EP&A Act. This includes conditions in respect of incident	HSE inspections are conducted weekly, sighted for 3/2/2021 No. 002579 to assess erosion and sedimentation controls, dust, waste management, flora and fauna, traffic control, etc. HY HSE internal environmental audits were conducted on the 18/12/2019, 25/6/2020,		Compliant

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			notification, reporting and response, non-compliance notification, Site audit report and independent auditing. Note: For the purposes of this condition, as set out in the EP&A Act, "monitoring" is monitoring of the development to provide data on compliance with the consent or on the environmental impact of the development, and an "environmental audit" is a periodic or particular documented evaluation of the development to provide information on compliance with the consent or the environmental management or impact of the development.	22/9/2020. Issues raised have been closed in BIM 360. The EMP implementation is formally reviewed every 3 months at the time of this internal audit. A compliance audit was conducted by an independent consultant contracted by TSA the last audit was undertaken in October 2020. HY inspection record dated 3/2/2021 was reviewed during this audit and included aspects i.e. erosion and sediment controls, house-keeping, waste management, dust, noise, and chemical storage handling.		
1.14	A	A19	Access to Information At least 48 hours before the commencement of construction until the completion of all works under this consent, or such other time as agreed by the Planning Secretary, the Applicant must:	Website for the project has been set-up in Planning website: http://www.goulburnredevelopment.health.nsw.gov.au/news-and-publications/publications		Compliant
	A	A19(a)	make the following information and documents (as they are obtained or approved) publicly available on its website:			
	A	A19(a)(i)	the documents referred to in condition A2 of this consent;	Approved plans from DPIE in website.		
	A	A19(a)(ii)	all current statutory approvals for the development;	SSD 8667 posted		
	A	A19(a)(iii)	all approved strategies, plans and programs required under the conditions of this consent;	Staging Report, EMP and subplans, environmental performance report (monthly), monitoring results and Construction Compliance Report have been posted.		
	A	A19(a)(iv)	regular reporting on the environmental performance of the development in accordance with the reporting arrangements in any plans or programs approved under the conditions of this consent;			
	A	A19(a)(v)	a comprehensive summary of the monitoring results of the development, reported in accordance with the specifications in any conditions of this consent, or any approved plans and programs;			
	A	A19(a)(vi)	a summary of the current stage and progress of the development;	A project timeline available on the website		
	A	A19(a)(vii)	contact details to enquire about the development or to make a complaint;	'Contact us' and enquiry form available on the website.		

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	A	A19 (a) (viii)	a complaints register, updated monthly;	Public Project Interest Register (Complaint register) posted up to Nov 2020.		
	A	A19 (a) (ix)	audit reports prepared as part of any independent audit of the development and the Applicant's response to the recommendations in any audit report;	AQUAS IEA report from February 2020 has been posted on the website.		
	A	A19 (a) (x)	any other matter required by the Planning Secretary; and	No other information has been requested.		
	A	A19 (b)	keep such information up to date, to the satisfaction of the Planning Secretary.	Noted.		
1.15	A	A20	Compliance The Applicant must ensure that all of its employees, contractors (and their sub-contractors) are made aware of, and are instructed to comply with, the conditions of this consent relevant to activities they carry out in respect of the development.	Site induction presentation was sighted that included compliance with SSD 8667 Conditions.		Compliant
1.16	A	A21	Staging The project may be constructed and operated in stages. Where compliance with conditions is required to be staged due to staged construction, a Staging Report (construction) must be prepared and submitted for the approval of the Planning Secretary. Where compliance with conditions is required to be staged due to operation, a Staging Report (operational) must be submitted to the Planning Secretary for approval no later than one month before the commencement of operation of the first of the proposed stages of operation.	A revised Staging Report (Rev. 4) was developed and submitted to DPIE on the 18/02/2020 and approved on 6/3/2020.		Compliant
1.17	A	A22	A Staging Report prepared in accordance with condition A21 must:	Staging report include in Section 2 a table that indicates the stage, the stage activities, an indicative commencement dates and indicative completion dates. Additionally, Appendix A includes a staging matrix for relevant Part A and Part B conditions.		Compliant
		A22 (a)	if staged construction is proposed, set out how the construction of the whole of the project will be staged, including details of work and other activities to be carried out in each stage and the general timing of when construction of each stage will commence and finish;	Stages have been defined for construction activities only.		
		A22 (b)	if staged operation is proposed, set out how the operation of the whole of the project will be staged, including details of work and other activities to be			

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			carried out in each stage and the general timing of when operation of each stage will commence and finish (if relevant);			
		A22 (c)	specify how compliance with conditions will be achieved across and between each of the stages of the project; and	Section 3.0 of the report includes the process to manage compliance which will be achieved through the implementation of the EMP, sub-plans, independent audits and compliance monitoring and reporting.		
		A22 (d)	set out mechanisms for managing any cumulative impacts arising from the proposed staging.	Section 3.0 of the report includes the process to manage potential and cumulative impacts through the implementation of project plans, strategies and protocols.		
1.18		A23	Where a Staging Report is required, the project must be staged in accordance with the Staging Report, as approved by the Planning Secretary.	Project is currently in accordance with Staging report approved by DPIE on the 6/3/2020.		Compliant
1.19		A24	Where construction or operation is being staged in accordance with a Staging Report, the terms of this consent that apply or are relevant to the works or activities to be carried out in a specific stage must be complied with at the relevant time for that stage as identified in the Staging Report.	Project is progressing in accordance with Staging report approved by DPIE on the 6/3/2020.		Compliant
1.20	A	AN1	Advisory Notes All licences, permits, approvals and consents as required by law must be obtained and maintained as required for the development. No condition of this consent removes any obligation to obtain, renew or comply with such licences, permits, approvals and consents.	Road Occupation License (ROL) No. RO/128/1920 Extension period of issue 29/1/2021 granted 29/1/2021 for 12 months at Faithful Street, Goulburn. Workzone permits were applied and granted by Goulburn Council for the period of 12 months from 29/1/2021.		Compliant
2.0	PART B - PRIOR TO COMMENCEMENT OF CONSTRUCTION					
2.1	B	B4	External Walls and Cladding Prior to the commencement of construction, the Applicant must provide the Certifying Authority with documented evidence that the products and systems proposed for use in the construction of external walls including finishes and claddings such as synthetic or aluminium composite panels comply with the requirements of the BCA. The Applicant must provide a copy of the documentation	External Walls components Disclosure Statement was provided by Interior Works 1/10/2020 complying with the relevant BCA requirements including non-combustibility and flammability. Statement was reviewed by the Certifying Authority as part of CC3 dated 7/10/2020. However, copy of the documentation was not provided to the DPIE within 7 days.		Compliant

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			given to the Certifying Authority to the Planning Secretary within seven days after the Certifying Authority accepts it.	<p>Construction Compliance Report dated 3/11/2020 already identified this condition as a non-compliance.</p> <p>Evidence was provided by the Proponent indicating that non-compliance to this condition was notified to the DPIE.</p> <p>A response letter dated 10/11/2020 was received from the DPIE indicating that they have assessed it, recorded it in their system and no further enforcement action was proposed.</p> <p>Note: As this condition was already identified as non-compliance in the Construction Compliance Report by the Proponent and notification was provided to the DPIE it will not be raised again as a non-compliance in this audit report.</p>		
2.2	B	B7	Upon completion of remedial works, the Applicant must submit a Site Audit Report and Section A Site Audit Statement for the relevant part of the site prepared by a NSW EPA accredited Site Auditor. The Site Audit Report and Section A Site Audit Statement must verify the relevant part of the site is suitable for the hospital land use and be provided to the satisfaction of the Certifying Authority.	Site Audit Report has not been completed yet as more asbestos is required to be removed in October 2021. Report not provided to the satisfaction of the Certifying Authority yet. This still in progress as bulk works still in progress.		Not-Triggered
2.3	B	B9	<p>Utility and Services</p> <p>Before the construction of any utility works associated with the development, the Applicant must obtain relevant approvals from service providers.</p>	<p>Approval from Essential Energy provider was received for:</p> <ul style="list-style-type: none"> - Construction of HV Overhead constructions; UG HV/LV cables and conduits and associated Padmount Transformer; Connection/ Link Pillars / Service pits and associated earthing; and streetlight columns and luminaires. - Electrical connection of new infrastructure to existing infrastructure within Albert/ Clifford streets. <p>Review of Env. Factors was completed by an Essential Energy (signed 21/05/2020).</p>		Compliant

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				The design drawings for the Power Supply Upgrade were certified by Essential Energy (Certification No. 116623) on the 21/05/2020.		
2.4	B	B10	Prior to the commencement of above ground works written advice must be obtained from the electricity supply authority, an approved telecommunications carrier and an approved gas carrier (where relevant) stating that satisfactory arrangements have been made to ensure provisions of adequate services.	Consultation with Essential Energy has been conducted. Sighted application requesting electrical reticulation design information for the proposed supply to Lot 5-10, DP 758468 dated 1/6/18. Sighted application RO/ 0066/2021 for trenching to install new essential energy conduits, installation of new kiosk substation and install 3 new street light columns from 9 October 2020 to 9 April 2021 at the Corner of Clifford and Faithfull Street, Goulburn. Ongoing application and consultation with the services provider was noted.		Compliant
2.5	B	B12	Ecologically Sustainable Development Prior to the commencement of construction, the Applicant must submit details of all design measures to the satisfaction the Certifying Authority demonstrating the proposal incorporates ecologically sustainable development initiatives as outlined in the statement prepared by Wood and Grieve Engineers dated 21 September 2017 to target the equivalent of a minimum 4 Star Green Star rating.	Sighted consultant compliance report prepared by the architect Silver Thomas Hanley (STH) 19/02/2020 indicating that ESD initiatives. This is part of CC2 from BMG item no.2 and no.5		Compliant
2.6	B	B13	Outdoor Lighting All outdoor lighting within the site must comply with AS 1158.3.1:2005 Lighting for roads and public spaces — Pedestrian area (Category P) lighting — Performance and design requirements and AS 4282-1997 Control of the obtrusive effects of outdoor lighting. Details demonstrating compliance with these requirements must be submitted to the satisfaction of the Certifying Authority.	Outdoor lighting part of CC3 from BMG dated 7/10/2020		Compliant
2.7	B	B14	Access for People with Disabilities The works that are the subject of this application must be designed and constructed to provide access and facilities for people with a disability in accordance with	BCA Assessment Report prepared on the 2/6/2020 by BMG including all details for access and facilities for people with a disability. This report is included in CC3 dated 7/10/2020.		Compliant

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			the BCA. Prior to the commencement of construction, the Certifying Authority must ensure that evidence of compliance with this condition from an appropriately qualified person is provided and that the requirements are referenced on any certified plans.			
2.8	B	B15	Environmental Management Plan Requirements Management plans required under this consent must be prepared in accordance with relevant guidelines, and include:	Environmental Management Plan Rev.3 dated Jul 2020 and sub-plans were developed. EMP included noise, vibration, dust and traffic controls.		Compliant
	B	B15 (a)	detailed baseline data;	Section 3.6.3 makes reference to the Legal compliance and other requirements.		
	B	B15 (b)	details of:	HYWAY system is used to access 'legislation standards and codes of practice'.		
	B	B15 (b) (i)	the relevant statutory requirements (including any relevant approval, licence or lease conditions);	Relevant criteria have noted in each sub-plan Section 3.3 and 3.4 of EMP defined the objectives and targets.		
	B	B15 (b) (ii)	any relevant limits or performance measures and criteria; and	Section 3.4 of EMP includes KPIs, targets and responsibility. Those are tracked progressively during the project review meeting. Sighted records for weekly HSE inspections 3/2/2021.		
	B	B15 (b) (iii)	the specific performance indicators that are proposed to be used to judge the performance of, or guide the implementation of, the development or any management measures;	Relevant measures defined in each sub-plan. EIS measures have been included in the EMP (Rev.3 – Jul 2020)		
	B	B15 (c)	a description of the measures to be implemented to comply with the relevant statutory requirements, limits, or performance measures and criteria;	Sighted Dashboard for from Oct 2019 until Feb 2021 which includes HSE inspections, incidents, etc. This is stored in BIM 360 system. Hospital website has a single page environmental performance report, sighted for Sep, Oct and Nov 2020. Also sighted reports for Dec 2020 and Jan 2021 prepared for TSA.		
	B	B15 (d)	a program to monitor and report on the:	Waste management included in Section 4.15. Waste reporting in section 4.15.5 and NGER reporting in section 5.3		
	B	B15 (d) (i)	impacts and environmental performance of the development;			

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	B	B15 (d) (ii)	effectiveness of the management measures set out pursuant to paragraph (c) above;	Section 5.2 indicates inspections are carried out fortnightly. Environmental controls reviewed during inspections include: air, dust, heritage, contaminated soil, erosion and sediment, flora and fauna and waste management.		
	B	B15 (e)	a contingency plan to manage any unpredicted impacts and their consequences and to ensure that ongoing impacts reduce to levels below relevant impact assessment criteria as quickly as possible;	Section 4.14.8 Unexpected finds process includes: protocol for asbestos, contamination, buried structures and volatile contaminants. Also, each section has mitigations strategies.		
	B	B15 (f)	a program to investigate and implement ways to improve the environmental performance of the development over time;	Environmental performance trends are tracked in BIM 360 system and the Dashboard that can be generated from that system. Also, there is Project Monthly Review meetings.		
	B	B15 (g)	a protocol for managing and reporting any:	Section 5.1.1 environmental incidents to be reported in accordance with HSE Incident procedure. 3. Section 5.2 indicates that NCs issues are raised in BIM360 Filed. Section 4.17 indicates that complaints will be recorded. None so far.		
	B	B15 (g) (i)	incident and any non-compliance (specifically including any exceedance of the impact assessment criteria and performance criteria);			
	B	B15 (g) (ii)	complaint;			
	B	B15 (g) (iii)	failure to comply with statutory requirements; and			
	B	B15 (h)	a protocol for periodic review of the plan. Note: The Planning Secretary may waive some of these requirements if they are unnecessary or unwarranted for particular management plans	EMP was reviewed during July 2020 (Rev.3) Note: HYway system indicates that a six-monthly review is required, therefore a review of the plan was due in January 2021 which is being conducted during this audit.		
2.9	B	B18	Construction Traffic and Pedestrian Management Sub-Plan The Construction Traffic and Pedestrian Management Sub-Plan (CTPMSP) must address, but not be limited to, the following:	Construction Traffic Management Plan dated 2/3/2020 Issue D		Compliant
	B	B18 (a)	be prepared by a suitably qualified and experienced person(s);	Prepared by GTA Consultants (NSW) Pty		

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	B	B18 (b)	be prepared in consultation with Council and RMS;	Sighted email on the 27/6/29 to Goulburn Mulwaree Council regarding work zone application permit. Also, sighted correspondence with RMS dated 26/11/19 regarding the TMP 31/10/19.		
	B	B18 (c)	detail the measures that are to be implemented to ensure road safety and network efficiency during construction in consideration of potential impacts on general traffic, cyclists and pedestrians and bus services;	Section 4.1 Traffic Control Plan, section 4.2 pedestrian management, section 4.3 public transport		
	B	B18 (d)	detail heavy vehicle routes, access and parking arrangements;	Section 3.7, 3.4 and 3.3 respectively		
	B	B18 (e)	include a Driver Code of Conduct to:	Section 4.9 includes details for the driver code of conduct, Section 3.7 includes details for Construction vehicles routes and Section 3.4 indicates the Site Access.		
	B	B18 (e) (i)	minimise the impacts of earthworks and construction on the local and regional road network;			
	B	B18 (e) (ii)	minimise conflicts with other road users;			
	B	B18 (e) (iii)	minimise road traffic noise; and	CTMP Issue D dated 02/03/2020 section 4.9 include how to minimise Road Traffic Noise as part of the code of conduct.		
	B	B18 (e) (iv)	ensure truck drivers use specified routes;			
	B	B18 (f)	include a program to monitor the effectiveness of these measures; and			
	B	B18 (g)	if necessary, detail procedures for notifying residents and the community (including local schools), of any potential disruptions to routes; and	Section 4.10 - public notification		
2.10	B	B20	Construction Waste Management Sub-Plan The Construction Waste Management Sub-Plan (CWMSP) must address, but not be limited to, the following:	Construction Waste Management Plan (CWMP) was updated in July 2020 - Rev.2.		Compliant
	B	B20 (a)	detail the quantities of each waste type remaining on site from its prior uses, waste generated during construction and the proposed reuse, recycling and disposal locations;	Section 5.0 Waste Management Details indicated that excavated materials disposal is to be completed by Hi-Quality Waste Management Pty. Sighted License No.10398 (12 September). Last for 5 years.		

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				CWMP (Rev.2) Section 5.0 was updated to include Waste Management Details for disposal facilities for concrete waste. Sighted Concrete disposal invoice dated 20/1/2020 from WYBRWO Haulage with concrete quantity and price.		
	B	B20 (b)	removal of hazardous materials, particularly the method of containment and control of emission of fibres to the air, and disposal at an approved waste disposal facility in accordance with the requirements of the relevant legislation, codes, standards and guidelines, prior to the commencement of any building works.	Section 4.1.13 Disposal has some details Section 5.0 - Disposal facility for Excavated Materials identified. For the other materials it will be identified as they progressed on the project. Waste Register including material tracking was provided by HY and sighted during this audit.		
2.11	B	B25	Operational Noise — Design of Mechanical Plant and Equipment Prior to the installation of mechanical plant and equipment, the Applicant must incorporate the noise mitigation recommendations in the report titled Goulburn Hospital Redevelopment Acoustic Report, prepared by Wood and Grieve Engineers, dated 24 September 2018, into the detailed design drawings. The Certifying Authority must verify that all reasonable and feasible noise mitigation measures have been incorporated into the design to ensure the development will not exceed the recommended operational noise levels identified in the report titled Goulburn Hospital Redevelopment Acoustic Report, prepared by Wood and Grieve Engineers, dated 24 September 2018.	A detailed design Acoustic Report was completed by Stantech Australia dated 30/7/2020 (Rev.12), included in CC3 dated 7/10/2020.		Compliant
2.12	B	B27	Mechanical Ventilation All mechanical ventilation systems must be designed in accordance with Part F4.5 of the BCA and must comply with the AS 1668.2-2012 The use of air-conditioning in buildings — Mechanical ventilation in buildings and AS/NZS 3666.1:2011 Air handling and water systems of buildings — Microbial control to ensure adequate levels of health and amenity to the occupants of the building and to ensure environment protection. Details must be	A Design Certificate of Compliance - Mechanical Ventilation from Fredon signed on the 14/8/2020 included in CC3 7/10/2020. Included in the Staging Report dated 12/02/2020		Compliant

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			submitted to the satisfaction of the Certifying Authority prior to the commencement of the relevant works.			
2.13	B	B29	Bicycle Parking and End-of-Trip Facilities Compliance with the following requirements for secure bicycle parking and end-of-trip facilities must be submitted to the satisfaction of the Certifying Authority prior to the commencement of construction:	Bicycle parking and end of trip facilities is part of the site plan prepared by STH 1/5/2020, this is part of CC3 dated 7/10/2020		Compliant
	B	B29 (a)	the provision of a minimum 12 staff and 8 visitor bicycle parking spaces;			
	B	B29 (b)	the layout, design and security of bicycle facilities must comply with the minimum requirements of AS 2890.3:2015 Parking facilities - Bicycle parking, and be located in easy to access, well-lit areas that incorporate passive surveillance;			
	B	B29 (c)	the provision of end-of-trip facilities for staff in accordance with the ESD Design & As Built rating tool;			
	B	B29 (d)	appropriate pedestrian and cyclist advisory signs are to be provided; and			
	B	B29 (e)	all works/regulatory signposting associated with the proposed developments shall be at no cost to the relevant roads authority.			
2.14	B	B31	Archival Recording Prior to the commencement of demolition works on-site, a photographic archival record of the Pathology Specimen Collection building and Reception buildings is to be prepared in accordance with the recommendations in the report titled Statement of Heritage Impact, Goulburn Hospital & Health Service Redevelopment, prepared by Perumal Murphy Alessi, dated June 2018 and the NSW Heritage Branch guidelines titled Photographic Recording of Heritage Items using Film or Digital Capture. A copy is to be submitted to Planning Secretary and Council prior to demolition works commencing.	Archival Recording CC3		Compliant

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
2.15	B	B32	Compliance Reporting No later than two weeks before the date notified for the commencement of construction, a Compliance Monitoring and Reporting Program prepared in accordance with the Compliance Reporting Post Approval Requirements (Department 2018) must be submitted to the Department and the Certifying Authority. Compliance Reports of the project must be carried out in accordance with the Compliance Reporting Post Approval Requirements (Department 2018). The Applicant must make each Compliance Report publicly available 60 days after submitting it to the Department and notify the Department and the Certifying Authority in writing at least seven days before this is done.	Construction Compliance Report No.2 November 2020 was available in the website. Compliance Tracking Review was regularly conducted by an Independent Consultant engaged by TSA. The review was noted in October 2020.		Compliant
2.16	B	B33	Notwithstanding the requirements of the Compliance Reporting Post Approval Requirements (Department 2018), the Planning Secretary may approve a request for ongoing annual operational compliance reports to be ceased, where it has been demonstrated to the Planning Secretary's satisfaction that an operational compliance report has demonstrated operational compliance.	No request to cease the ongoing annual operational compliance reports received to date.		Not-Triggered
3.0	PART C - DURING CONSTRUCTION					
3.1	C	C1	Approved Plans on Site A copy of the approved and certified plans, specifications and documents incorporating conditions of approval and certification must be kept on the Site at all times and must be readily available for perusal by any officer of the Department, Council or the Certifying Authority.	Hard copies of approved plans were available onsite (Hard copy folder in place). Project Management Plan (PMP) Rev.1 October 2019. Environmental Management Plan (EMP) Rev. 1, September 2019 was submitted to the Certifier and approved on the 8/11/2019. A copy of the EMP was provided to DPIE on the 9/11/2019. This was prior to construction commencing. The revised EMP (Rev.3) was provided to the Certifier on the 20/07/2020 and DPIE on the 23/10/2020.		Compliant
3.2	C	C2	Site Notice A site notice(s):			Compliant

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
	C	C2 (a)	must be prominently displayed at the boundaries of the site for the purposes of informing the public of project details including, but not limited to the details of the Builder, Certifying Authority and Structural Engineer.	The Site notice was displayed at the entrance of the site and complies with all the requirements.		
	C	C2 (b)	is to satisfy all but not be limited to, the following requirements:			
	C	C2 (b) (i)	minimum dimensions of the notice must measure 841 mm x 594 mm (A1) with any text on the notice to be a minimum of 30-point type size;			
	C	C2 (b) (ii)	the notice is to be durable and weatherproof and is to be displayed throughout the works period;			
	C	C2 (b) (iii)	the approved hours of work, the name of the site/ project manager, the responsible managing company (if any), its address and 24-hour contact phone number for any inquiries, including construction/ noise complaint must be displayed on the site notice; and			
	C	C2 (b) (iv)	the notice(s) is to be mounted at eye level on the perimeter hoardings/fencing and is to state that unauthorised entry to the site is not permitted.			
3.3	C	C3	Operation of Plant and Equipment All plant and equipment used on site, or to monitor the performance of the development must be:	Equipment, Plant registrations and maintenance records Register part of the BIM 360 Field system. Plant verification checklist is completed every 3 months. Sighted for EWP: logbook completed 13/1/2021 (valid for 90 days), Plant verification checklist completed 001383 QR Code 0084 and worker's license was checked during this inspection.		Compliant
	C	C3 (a)	maintained in a proper and efficient condition; and			
	C	C3 (b)	operated in a proper and efficient manner.			
3.4	C	C4	Demolition Demolition work must comply with <i>Australian Standard AS 2601-2001</i> The demolition of structures (Standards Australia, 2001). The work plans required by AS 2601-2001 must be accompanied by a written statement from a suitably qualified person that the proposals contained in the work plan comply with the safety requirements of the Standard. The work plans and the statement of compliance must be submitted to the Certifying Authority before the commencement of works.	Demolition works will be conducted later on. Note: HY to ensure that the work plans, and the statement of compliance are submitted to the Certifying Authority before the commencement of works.		Not Triggered

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
3.5	C	C5	Construction Hours Construction, including the delivery of materials to and from the site, may only be carried out between the following hours:	Construction hours of work included in the EMP. Works were normally conducted within the normal standard hours. Only one work outside work hours was conducted to date (removal of asbestos) Refer to condition C6.		Compliant
	C	C5 (a)	between 7am and 6pm, Mondays to Fridays inclusive; and			
	C	C5 (b)	between 8am and 1pm, Saturdays.			
	C	C5	No work may be carried out on Sundays or public holidays.			
3.6	C	C6	Activities may be undertaken outside of the hours in condition C5 if required:	Works was conducted based on the C6b - removal of asbestos contaminated materials. Disruption notice was sent to the Hospital. Sighted Disruption Notice and Consultation with the hospital LHD and TSA on 28/01/2020. Approval was granted on 3/02/2020.		Compliant
	C	C6 (a)	by the Police or a public authority for the delivery of vehicles, plant or materials; or			
	C	C6 (b)	in an emergency to avoid the loss of life, damage to property or to prevent environmental harm; or			
	C	C6 (c)	where the works are inaudible at the nearest sensitive receivers; or			
	C	C6 (d)	where a variation is approved in advance in writing by the Planning Secretary or her nominee if appropriate justification is provided for the works.			
	C	C6	Notification of such activities must be given to affected residents before undertaking the activities or as soon as is practical afterwards.			
3.7	C	C7	Rock breaking, rock hammering, sheet piling, pile driving and similar activities may only be carried out between the following hours:	None of these activities have been conducted at the site so far.		Not Triggered
	C	C7 (a)	9am to 12pm, Monday to Friday;			
	C	C7 (b)	2pm to 5pm Monday to Friday; and			
	C	C7 (c)	9am to 12pm, Saturday.			
3.8	C	C8	Implementation of Management Plans The Applicant must carry out the construction of the development in accordance with the most recent version of the approved CEMP (including Sub-Plans).	The Environmental Management Plan (EMP) and majority of sub-plans have been reviewed, updated and implemented with the following strengths sighted during the audit: <ul style="list-style-type: none"> Internal audits and compliance tracking review was conducted; 		Compliant

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
				<ul style="list-style-type: none"> Internal and external communication, consultation and disruption notice process is working well with the Hospital Representatives and other stakeholders. BIM 360 system continue tracking non-compliances, incidents, training, equipment maintenance and operator's licenses and competence; Environmental inspections have been undertaken; Site signage and way findings were installed; Construction site was secured; No generation of dust during the inspection; Traffic control was in place at the main access during deliveries of materials; Noise Hoarding was installed along the site perimeter; Opportunity for improvement on general housekeeping was discussed during the site walk which was immediately addressed by HY; Maintenance of the erosion and sedimentation controls was also discussed with HY and was addressed immediately; and The regular sweeping and monitoring of the dust/mud on the road was also discussed during this audit and HY addressed the issue immediately after the inspection. <p>All the issues raised by the auditors during this inspection were addressed immediately by HY and auditors were satisfied that HY is implementing the site environmental controls satisfactorily.</p>		

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
3.9	C	C9	Construction Traffic All construction vehicles (excluding worker vehicles) are to be contained wholly within the site, except if located in an approved on-street work zone, and vehicles must enter the site before stopping.	A work zone permit was applied and approved by the Goulburn Council for the period of 12 months 29/1/2021.		Compliant
3.10	C	C10	Road Occupancy Licence A Road Occupancy Licence must be obtained from the relevant road authority for any works that impact on traffic flows during construction activities.	Road Occupation License (ROL) No. RO/0128/1920 - Extension granted 29/1/2021 at Faithful Street.		Compliant
3.11	C	C11	SafeWork Requirements To protect the safety of work personnel and the public, the work site must be adequately secured to prevent access by unauthorised personnel, and work must be conducted at all times in accordance with relevant Safe Work requirements.	Site was secured, and wayfinding signage was clear. Online site-specific induction and Hansen Yuncken general induction completed by workers. BIM 360 Field was also used to keep records of site inductions and workers tickets.		Compliant
3.12	C	C12	Hoarding Requirements The following hoarding requirements must be complied with:	Hoarding has been installed next to the existing hospital building. ATF fencing along the construction site. No graffiti noted.		Compliant
	C	C12 (a)	no third-party advertising is permitted to be displayed on the subject hoarding/ fencing;			
	C	C12 (b)	the construction site manager must be responsible for the removal of all graffiti from any construction hoardings or the like within the construction area within 48 hours of its application; and			
	C	C12 (c)	the Applicant must submit a hoarding application to Council for the installation of any hoardings over Council footways or road reserve.			
3.13	C	C13	No Obstruction of Public Way The public way (outside of any approved construction works zone) must not be obstructed by any materials, vehicles, refuse, skips or the like, under and circumstances, unless prior approval has been obtained from the relevant authority. Non-compliance with this requirement will result in the issue of a notice by the relevant Authority to stop all works on site.	No obstruction of public way, no trucks parked outside, all equipment were contained within the site fenced boundary. Sighted Disruption notice sent on the 25/09/2020 for DN-MW-041 HV works - trenching for commencement on the 26/10/2020. RO/ 0066/2021 granted from 9 October 2020 to 9 April 2021.		Compliant
3.14	C	C14	Construction Noise Limits The development must be constructed to achieve the construction noise management levels detailed in the	Attended noise monitoring was taken as no exceedances have been reported.		Compliant

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ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
			<i>Interim Construction Noise Guideline</i> (DECC, 2009). All feasible and reasonable noise mitigation measures must be implemented and any activities that could exceed the construction noise management levels must be identified and managed in accordance with the management and mitigation measures identified in the approved Construction Noise and Vibration Management Plan.	Noise and vibration monitors will be set up again during the demolition works Aug/oct 2021 Q3. Sighted Noise monitoring reports from August to November 2020 and January 2021 from Wood and Grieve Engineers (acoustic report).		
3.15	C	C15	The Applicant must ensure construction vehicles (including concrete agitator trucks) do not arrive at the site or surrounding residential precincts outside of the construction hours of work outlined under condition C5.	Contractors aware of workings hours and controls on site. No complaints received regarding workers starting prior to 7am.		Compliant
3.16	C	C16	The Applicant must implement, where practicable and without compromising the safety of construction staff or members of the public, the use audible movement alarms of a type that would minimise noise impacts on surrounding noise sensitive receivers.	Plant and vehicles had beepers and flashing light on site.		Compliant
3.17	C	C17	Any noise generated during construction of the development must not be offensive noise within the meaning of the <i>Protection of the Environment Operations Act 1997</i> or exceed approved noise limits for the site.	There has been only 1 noise complaint raised on 6/2/20 by the Community Health Building regarding noise. This was rectified by putting up noise barriers. No other noise complaints from Mar-Nov 2020.		Compliant
3.18	C	C18	Vibration Criteria Vibration caused by construction at any residence or structure outside the site must be limited to:	Vibration monitors were installed at building footings of the heritage buildings. No exceedances caused by construction works have been recorded to date.		Compliant
	C	C18 (a)	for structural damage, the latest version of <i>DIN 4150-3 (1992-02) Structural vibration - Effects of vibration on structures</i> (German Institute for Standardisation, 1999); and	Last vibration monitoring report was conducted 25 June 2020. No need for vibration monitoring anymore as works causing vibration have been completed in June 2020.		
	C	C18 (b)	for human exposure, the acceptable vibration values set out in the <i>Environmental Noise Management Assessing Vibration: a technical guideline</i> (DEC, 2006) (as may be updated or replaced from time to time).			
3.19	C	C19	Vibratory compactors must not be used closer than 30 metres from residential buildings unless vibration monitoring confirms compliance with the vibration criteria specified in condition C18	No vibratory compactors used within 30 metres from residential buildings. Vibration monitors were installed at building footings of the heritage buildings.		Not Triggered

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ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
3.20	C	C20	The limits in conditions C18 and C19 apply unless otherwise outlined in a Construction Noise and Vibration Management Plan, approved as part of the CEMP required by condition B21 of this consent.	Noted.		Not Triggered
3.21	C	C21	Tree Protection For the duration of the construction works:	No street trees requiring protection. It is noted that two of the trees shown as requiring protection (Trees 45 and 46 on Sheet 3 of 4 of TMP01, Appendix 8 to the Naturally Trees 2017 report) were removed prior to the commencement of construction works by others. The other two trees shown as requiring protection (Trees 37 and 38 on Sheet 3 of 4 of TMP01) are in place at the existing hospital entrance. These trees are outside of the construction site boundary.		Not Triggered
	C	C21 (a)	street trees must not be trimmed or removed unless it forms a part of this development consent or prior written approval from Council is obtained or is required in an emergency to avoid the loss of life or damage to property;			
	C	C21 (b)	all street trees must be protected at all times during construction. Any tree on the footpath, which is damaged or removed during construction due to an emergency, must be replaced, to the satisfaction of Council;			
		C21 (c)	all trees on the site must be suitably protected during construction as per recommendations of the report titled Arboricultural Impact Appraisal and Method Statement, prepared by Naturally Trees, dated 8 November 2017; and			
		C21 (d)	if access to the area within any protective barrier is required during the works, it must be carried out under the supervision of a qualified arborist. Alternative tree protection measures must be installed, as required. The removal of tree protection measures, following completion of the works, must be carried out under the supervision of a qualified arborist and must avoid both direct mechanical injury to the structure of the tree and soil compaction within the canopy or the limit of the former protective fencing, whichever is the greater.			
3.22	C	C22	Dust Minimisation The Applicant must take all reasonable steps to minimise dust generated during all works authorised by this consent.	No complaints received regarding dust. Council does 1 -2 street sweeps a week.		Compliant
3.23	C	C23	During construction, the Applicant must ensure that:			Compliant

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ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
	C	C23 (a)	exposed surfaces and stockpiles are suppressed by regular watering;	Water cart is used during dry and windy season. Process in place for truck loads to be covered.		
	C	C23 (b)	all trucks entering or leaving the site with loads have their loads covered;			
	C	C23 (c)	trucks associated with the development do not track dirt onto the public road network;	Rumble grid installed at the exit gate.		
	C	C23 (d)	public roads used by these trucks are kept clean; and	Street sweeper available, as required.		
	C	C23 (e)	land stabilisation works are carried out progressively on site to minimise exposed surfaces.	A disturb area in the footpath batter was rectified by placing a turf and lawn seed on each side of Faithfull St crossovers.		
3.24	C	C24	Erosion and Sediment Control All erosion and sediment control measures, must be effectively implemented and maintained at or above design capacity for the duration of the construction works and until such time as all ground disturbed by the works have been stabilised and rehabilitated so that it no longer acts as a source of sediment.	Soil and Water Management Plan Phase 2 Drawing dated 20/2/2020 Rev.3 was sighted. Erosion and sedimentation controls were implemented on site as per the Plan. Observations made during the site walk regarding erosion and sediment controls were addressed by HY and evidence of closure (photos) were sighted by the auditors. See photos in Appendix E.		Compliant
3.25	C	C25	Imported Soil The Applicant must:	No imported soil brought to site to date.		Not Triggered
	C	C25 (a)	ensure that only VENM, ENM, or other material approved in writing by EPA is brought onto the site;			
	C	C25 (b)	keep accurate records of the volume and type of fill to be used; and			
	C	C25 (c)	make these records available to the Certifying Authority upon request.			
3.26	C	C26	Disposal of Seepage and Stormwater Any seepage or rainwater collected on-site during construction or groundwater must not be pumped to the street stormwater system unless separate prior approval is given in writing by the EPA in accordance with the <i>Protection of the Environment Operations Act 1997</i> .	No pumping of any groundwater or discharge of water conducted to date. From the recent heavy rains, the stormwater runoff was collected within the site.		Not Triggered
3.27	C	C27	Unexpected Finds Protocol - Aboriginal Heritage In the event that surface disturbance identifies a new Aboriginal object, all works must halt in the immediate	No unexpected finds to date, but process in place.		Not Triggered

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ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
			area to prevent any further impacts to the object(s). A suitably qualified archaeologist and the registered Aboriginal representatives must be contacted to determine the significance of the objects. The site is to be registered in the Aboriginal Heritage Information Management System (AHIMS) which is managed by OEH and the management outcome for the site included in the information provided to AHIMS. The Applicant must consult with the Aboriginal community representatives, the archaeologists and OEH to develop and implement management strategies for all objects/sites. Works shall only recommence with the written approval of OEH.			
3.28	C	C28	Unexpected Finds Protocol - Historic Heritage If any unexpected archaeological relics are uncovered during the work, then all works must cease immediately in that area and the OEH Heritage Division contacted. Depending on the possible significance of the relics, an archaeological assessment and management strategy may be required before further works can continue in that area. Works may only recommence with the written approval of Heritage Division of the OEH.	No unexpected finds to date, but process in place.		Not Triggered
3.29	C	C29	Waste Storage and Processing Waste must be secured and maintained within designated waste storage areas at all times and must not leave the site onto neighbouring public or private properties.	General waste contained within the bin provided on site. Waste bins are implemented for segregation.		Compliant
3.30	C	C30	All waste generated during construction must be assess, classified and managed in accordance with the Waste Classification Guidelines Part 1: Classifying Waste (EPA, 2014).	BIM 360 field managed the waste tracking. Sighted Waste Register from March 2020 to January 2021 including waste type e.g. general, concrete, metal, timber, etc. and the percentage recycled and percentage to landfill.		Compliant
3.31	C	C31	The body of any vehicle or trailer used to transport waste or excavation spoil must be covered before leaving the premises to prevent any spillage or escape of any dust, waste of spoil. Mud, splatter, dust and other material likely to fall from or be cast off the wheels, underside or body of any vehicle, trailer or motorised	ACM and lead contaminated material were disposed offsite. Evidence of contaminated material export tracking register from Nov 2019 to May 2020 was sighted. Presented Interim Remediation Validation Result 18 Nov 2019 from Douglas Partners for sampling for remediation excavations		Compliant

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
			plant leaving the site must be removed before leaving the premises.	undertaken within the Main Works Area (MWA) for the Goulburn Hospital. No trucks sighted during the site inspection.		
3.32	C	C32	The Applicant must ensure that concrete waste and rinse water are not disposed of on the site and are prevented from entering any natural of artificial watercourse or stormwater system.	Sump lined with geofabric was used as temporary concrete wash bay which was moved due to footing works. This will be re-established once concrete works commence.		Compliant
3.33	C	C33	Handling Asbestos The Applicant is to consult with SafeWork NSW concerning the handling of any asbestos waste that may be encountered during construction. The requirements of the Protection of the Environment Operations (Waste) Regulation 2014 with particular reference to Part 7 – 'Transportation and management of asbestos waste' must also be complied with.	Asbestos are managed by Douglas Partner. Sighted waste register and asbestos removal validation reports date 16/01/2020. Asbestos removal license no. 211677. SafeWork NSW notification was conducted on 21/10/2019 for non-friable asbestos removal, by Affective Services Australia Pty Ltd. SafeWork NSW notification was conducted on 25/10/2019 for friable asbestos removal (25 sqm), by Affective Services Australia Pty Ltd.		Compliant
3.34	C	C34	Incident Notification, Reporting and Response The Department must be notified in writing to compliance@planning.nsw.gov.au immediately after the Applicant becomes aware of an incident. The notification must identify the development (including the development application number and the name of the development if it has one) and set out the location and nature of the incident. Subsequent notification must be given, and reports submitted in accordance with the requirements set out in Appendix 1 .	BIM 360 Field is the system used for reporting and closing out incidents. No environmental incidents. Majority of identified environmental 'issues' as a result of inspection, audits, etc have been closed in BIM 360.		Not triggered
3.35	C	C35	Non-compliance Notification The Department must be notified in writing to compliance@planning.nsw.gov.au within seven days after the Applicant becomes aware of any non-compliance. The Certifying Authority must also notify the Department in writing to compliance@planning.nsw.gov.au within seven days after they identify any non-compliance.	It was noted that two non-compliances were raised during the last construction compliance report and notified to DPIE. Non-compliances raised by AQUAS during the IEA on the 17/3/2020 were notified to DPIE on the 20/3/2020		Compliant

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
			The notification must identify the development and the application number for it, set out the condition of consent that the development is non-compliant with, the way in which it does not comply and the reasons for the non-compliance (if known) and what actions have been, or will be, undertaken to address the non-compliance. A non-compliance which has been notified as an incident does not need to also be notified as a non-compliance.			
3.36	C	C36	Revision of Strategies Plans and Programs Within three months of:	Staging Report was updated March 2020 EMP and sub-plans were revised in July 2020 (Rev.3)		Compliant
		C36 (a)	the submission of a compliance report under condition B32;			
		C36 (b)	the submission of an incident report under condition C34;			
		C36 (c)	the submission of an Independent Audit under condition B33;	None to date		
		C36 (d)	the issue of a direction of the Planning Secretary under condition A2 which requires a review,	Modification 2 approval received from DPIE on the 28/01/2020.		
		C36	the strategies, plans and programs required under this consent must be reviewed, and the Department and the Certifying Authority must be notified in writing that a review is being carried out.			
3.37		C37	If necessary to either improve the environmental performance of the development, cater for a modification or comply with a direction, the strategies, plans and programs required under this consent must be revised, to the satisfaction of the Certifying Authority. Where revisions are required, the revised document must be submitted to the Certifying Authority for approval within six weeks of the review. <i>Note: This is to ensure strategies, plans and programs are updated on a regular basis and to incorporate any recommended measures to improve the environmental performance of the development.</i>	Staging Report updated March 2020 (Rev. 4) The updated EMP and Construction Traffic Management Plan were provided to the Certifying Authority on 20/07/2020. It was noted in the Construction Compliance Reporting No.2 (Nov 2020) that the revised Waste Management Plan (WMP) was inadvertently missed and not provided to the Certifying Authority. This was raised as Non-compliant in the Compliance Reporting No.2 which was submitted to DPIE. Revised EMP/WMP plans were lodged by HI on 23/10/21 and response from DPIE was		Compliant

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
				received on the 10/11/2020 recording the two NCs from the compliance report.		
3.38	C	C38	Independent Environmental Audit No later than two months before the date notified for the commencement of construction, an Independent Audit Program prepared in accordance with the Independent Audit Post Approval Requirements (Department 2018) must be submitted to the Department and the Certifying Authority.	Audit Program was not submitted within the required timeframe (11/01/2020). Program was submitted to DPIE on 14/01/2020 which was 3 days after the due date of submission. Non-compliant report was submitted to DPIE on 14/01/2020 within 7 days from it was known. Letter from DPIE with approval for AQUAS auditors was received on the 31/01/2020.		Compliant
3.39	C	C39	Independent Audits of the development must be carried out in accordance with:	Audit Program prepared by AQUAS 20/12/19.		Compliant
	C	C39 (a)	the Independent Audit Program submitted to the Department and Certifying Authority under condition C38 of this consent; and	Program was submitted to DPIE on 14/01/2020.		
	C	C39 (b)	the requirements for an Independent Audit Methodology and Independent Audit Report in the Independent Audit Post Approval Requirements (Department 2018).	IEA report followed the IAPAR guidelines (Department 2018)		
3.40	C	C40	In accordance with the specific requirements in the Independent Audit Post Approval Requirements (Department 2018), the Applicant must:	IEA was undertaken in accordance with the IAPAR (Department 2018). The IEA report dated 17/03/2020 was provided to DPIE on the 20/3/2020 with the applicant responses to the audit findings. The report was posted in the project website on the 5/5/2020 which is within 60 days after submission to the DPIE.		Compliant
	C	C40 (a)	review and respond to each Independent Audit Report prepared under condition C38 of this consent;			
	C	C40 (b)	submit the response to the Department and the Certifying Authority; and			
	C	C40 (c)	make each Independent Audit Report and response to it publicly available within 60 days after submission to the Department and notify the Department and the Certifying Authority in writing at least seven days before this is done.	Independent Audits have not been required to be undertaken at different times to date.		

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
3.41	C	C41	Notwithstanding the requirements of the Independent Audit Post Approval Requirements (Department 2018), the Planning Secretary may approve a request for ongoing annual operational audits to be ceased, where it has been demonstrated to the Planning Secretary's satisfaction that an audit has demonstrated operational compliance.	Noted.		Not-triggered
4.0	APPENDIX A - WRITTEN NOTIFICATION AND REPORTING					
4.1	Appx	1	A written incident notification addressing the requirements set out below must be emailed to the Department at the following address: compliance@planning.nsw.gov.au within seven days after the Applicant becomes aware of an incident. Notification is required to be given under this condition even if the Applicant fails to give the notification required under condition C42 or, having given such notification, subsequently forms the view that an incident has not occurred.	No notifiable incidents.		Not-triggered
4.2	Appx	2	Written notification of an incident must:	No notifiable incidents.		Not-triggered
	Appx	2 (a)	identify the development and application number;			
	Appx	2 (b)	provide details of the incident (date, time, location, a brief description of what occurred and why it is classified as an incident);			
	Appx	2 (c)	identify how the incident was detected;			
	Appx	2 (d)	identify when the applicant became aware of the incident;			
	Appx	2 (e)	identify any actual or potential non-compliance with conditions of consent;			
	Appx	2 (f)	describe what immediate steps were taken in relation to the incident;			
	Appx	2 (g)	identify further action(s) that will be taken in relation to the incident; and			
	Appx	2 (h)	identify a project contact for further communication regarding the incident.			

Audit Compliance Codes: C: Compliant NC: No-Compliant; NT: Not triggered

ID No.	SSD Part No.	SSD Req. No.	SSD Requirement	Audit Evidence	Audit Findings / Recommendations	Compliance Descriptor
4.3	Appx	3	Within 30 days of the date on which the incident occurred or as otherwise agreed to by the Planning Secretary, the Applicant must provide the Planning Secretary and any relevant public authorities (as determined by the Planning Secretary) with a detailed report on the incident addressing all requirements below, and such further reports as may be requested.	No notifiable incidents.		Not-triggered
4.4	Appx	4	The Incident Report must include:	No notifiable incidents.		Not-triggered
	Appx	4 (a)	a summary of the incident;			
	Appx	4 (b)	outcomes of an incident investigation, including identification of the cause of the incident;			
	Appx	4 (c)	details of the corrective and preventative actions that have been, or will be, implemented to address the incident and prevent recurrence; and			
	Appx	4 (d)	details of any communication with other stakeholders regarding the incident.			

Appendix E. Audit Photos

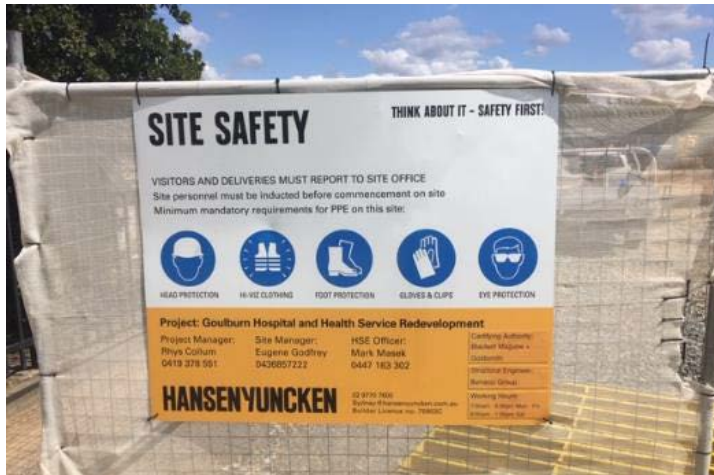


Photo 1 – Site notice at project entrance



Photo 2 – Silt fence was refreshed during the day of the audit.



Photo 3 – Site access at Faithful street was swept



Photo 4 – Accumulated silts along the gutter was removed at the time of this audit.



Photo 5 – Site access was swept and free of mud tracking.



Photo 6 – Hoarding that act as noise barrier was installed along the perimeter of the Community Hall.



Photo 7 – Panoramic view of the building looking towards south.



Photo 8 – Traffic control in place at Faithful Street.



Photo 9 – Photo taken at the top of the building. Plant and HVAC units.



Photo 10 – Inside the plant and aboveground utilities area at top level of the building.



Photo 11 – Photos taken inside the building. General housekeeping was implemented.



Photo 12 – Hazardous and flammable chemicals were kept in lockable container.

Appendix F. Consultation Records

From: [Georgia Dragicevic](#)
To: [Munoz, Ana-Maria](#)
Cc: [Katrina O'Reilly](#)
Subject: RE: Goulburn Hospital (SSD 8667) Independent Environmental Audit - Consultation
Date: Thursday, 28 January 2021 6:31:28 PM

Hi Ana-Maria,

Thank you for consulting the Department on the IEA for Goulburn Hospital. Please look into the management of noise, dust, operating hours, truck movements, erosion and sediment, including dirt tracking onto public roads, community consultation, complaints management, including complaints register.

Thank you
Georgia

Georgia Dragicevic
Senior Compliance Officer

Compliance | Department of Planning, Industry and Environment
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**Planning,
Industry &
Environment**

The Department of Planning, Industry and Environment acknowledges that it stands on Aboriginal land. We acknowledge the traditional custodians of the land and we show our respect for elders past, present and emerging through thoughtful and collaborative approaches to our work, seeking to demonstrate our ongoing commitment to providing places in which Aboriginal people are included socially, culturally and economically.